



IFW

**AMENDMENT TRANSMITTAL**

**PATENT**

Application No.: 10/691,347  
Filing Date: October 21, 2003  
First Named Inventor: Tiemin Zhao  
Examiner's Name: Tran, Nhan T.  
Art Unit: 2622  
Attorney Docket No.: 4320P017D

- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
- ☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
- ☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.
- ☐ Applicant(s) claim small entity status (37 CFR 1.27).

**ATTACHMENTS**

- ☐ Preliminary Amendment
- ☒ Amendment/Response with respect to Office Action
- ☐ Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
- ☐ Notice of Appeal
- ☐ RCE (Request for Continued Examination)
- ☐ Supplemental Declaration
- ☒ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
- ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS citations
- ☐ Petition for Extension of Time
- ☒ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
- ☐ Cross-Reference to Related Application(s)
- ☐ Certified Copy of Priority Document
- ☐ Other: \_\_\_\_\_
- ☐ Other: \_\_\_\_\_
- ☒ Check(s)
- ☒ Postcard (Return Receipt)

**SUBMITTED BY:**

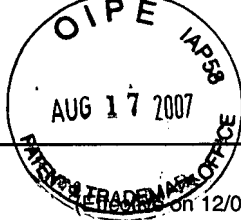
BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP  
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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450 on August 14, 2007

Alma Goldchain  
Name of Person Mailing Correspondence  
Signature:   
Date: 8/14/07

Express Mail Label No. (if applicable):

**FEE TRANSMITTAL FOR FY 2007**

Fees on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known:**

Application No. 10/691,347  
Filing Date October 21, 2003  
First Named Inventor Tiemin Zhao  
Examiner Name Tran, Nhan T.  
Art Unit 2622  
Attorney Docket No. 4320P017D

Applicant claims small entity status. See 37 CFR 1.27.

**METHOD OF PAYMENT** (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify)

**Deposit Account**Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

☒ Charge fee(s) indicated below.

☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below except for the filing fee

☒ Credit any overpayments.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| <u>Large Entity</u> |                 | <u>Small Entity</u> |                 | <u>Fee Description</u>             |             | <u>Fees Paid (\$)</u> |
|---------------------|-----------------|---------------------|-----------------|------------------------------------|-------------|-----------------------|
| <u>Code</u>         | <u>Fee (\$)</u> | <u>Code</u>         | <u>Fee (\$)</u> |                                    |             |                       |
| 1011                | 300             | 2011                | 150             | Utility application filing fee     | } 1,000/500 | _____                 |
| 1111                | 500             | 2111                | 250             | Utility search fee                 |             | _____                 |
| 1311                | 200             | 2311                | 100             | Utility examination fee            |             | _____                 |
| 1012                | 200             | 2012                | 100             | Design application filing fee      | } 430/215   | _____                 |
| 1112                | 100             | 2112                | 50              | Design search fee                  |             | _____                 |
| 1312                | 130             | 2312                | 65              | Design examination fee             |             | _____                 |
| 1013                | 200             | 2013                | 100             | Plant filing fee                   | } 660/330   | _____                 |
| 1113                | 300             | 2113                | 150             | Plant search fee                   |             | _____                 |
| 1313                | 160             | 2313                | 80              | Plant examination fee              |             | _____                 |
| 1004                | 300             | 2004                | 150             | Reissue filing fee                 | } 1,400/700 | _____                 |
| 1114                | 500             | 2114                | 250             | Reissue search fee                 |             | _____                 |
| 1314                | 600             | 2314                | 300             | Reissue examination fee            |             | _____                 |
| 1005                | 200             | 2005                | 100             | Provisional application filing fee |             | _____                 |
| <b>SUBTOTAL (1)</b> |                 |                     |                 |                                    |             | <b>\$0.00</b>         |

**2. EXCESS CLAIM FEES****Fee Description****Large Entity      Small Entity****Fee      Fee      Fee      Fee****Code      (\$)      Code      (\$)      Fee Description**

1202      50      2202      25

Each claim over 20

1201      200      2201      100

Each independent claim over 3

1203      360      2203      180

Multiple dependent claims, if not paid

1204      200      2204      100

Reissue: each claim over 20 and more than in the original patent

1205      50      2205      25

Reissue: each independent claim more than in the original patent

|   |           | <u>Extra Claims</u> | <u>Fee</u>          | <u>Fees Paid (\$)</u> |
|---|-----------|---------------------|---------------------|-----------------------|
| <b>Total Claims</b>   | <u>16</u> | <b>- 20 or HP =</b> | X <u>\$25.00</u>    | = <u>\$0.00</u>       |
| HP = highest number of total claims paid for, if greater than 20      |           |                     |                     |                       |
| <b>Independent Claims</b>   | <u>3</u>  | <b>- 3 or HP =</b>  | X <u>\$100.00</u>   | = <u>\$0.00</u>       |
| HP = highest number of independent claims paid for, if greater than 3 |           |                     |                     |                       |
| <b>Multiple Dependent Claims</b>                                      |           |                     |                     |                       |
|   |           |                     |                     |                       |
|   |           |                     | <b>SUBTOTAL (2)</b> | <b>\$ <u>0.00</u></b> |

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional<br/>50 or fraction thereof</u>           | <u>Fee from<br/>below</u> | <u>Fees paid (\$)</u> |
|---------------------|---------------------|---|---------------------------|-----------------------|
| <u>          </u>   | <b>- 100 =</b>      | <u>          </u> / 50 = <u>          </u> (round up to whole number) | X <u>\$125.00</u>         | <u>          </u>     |

**Large Entity      Small Entity****Fee      Fee      Fee      Fee****Code      (\$)      Code      (\$)      Fee Description: Application size fee for each additional group of 50 sheets****beyond initial 100 sheets (count spec & drawings except sequences & program listings):**

1081      250      2081      125

Utility

1082      250      2082      125

Design

1083      250      2083      125

Plant

1084      250      2084      125

Reissue

**SUBTOTAL (3) \$ 0.00**

**FEE CALCULATION (continued)****4. OTHER FEE(S)**

|  |                     |                 |                 |  | <b>Fees Paid (\$)</b> |
|--|---------------------|-----------------|-----------------|--|-----------------------|
| <b>Non-English Specification, \$130 fee (no small entity discount)</b> |                     |                 |                 |  |                       |
| <b>Large Entity</b>  | <b>Small Entity</b> |                 |                 |  |                       |
| <b>Fee Code</b>  | <b>Fee (\$)</b>     | <b>Fee Code</b> | <b>Fee (\$)</b> | <b>Fee Description</b>   |                       |
| 1051   | 130                 | 2051            | 65              | Surcharge - late filing fee or oath  |                       |
| 1052   | 50                  | 2052            | 25              | Surcharge - late provisional filing fee or cover sheet                     |                       |
| 1053   | 130                 | 1053            | 130             | Non-English specification  |                       |
| 1812   | 2,520               | 1812            | 2,520           | For filing a request for ex parte reexamination                            |                       |
| 1813   | 8,800               | 1813            | 8,800           | Request for inter parties reexamination                                    |                       |
| 1804   | 920*                | 1804            | 920*            | Requesting publication of SIR prior to Examiner action                     |                       |
| 1805   | 1,840*              | 1805            | 1,840*          | Requesting publication of SIR after Examiner action                        |                       |
| 1251   | 120                 | 2251            | 60              | Extension for reply within first month                                     |                       |
| 1252   | 450                 | 2252            | 225             | Extension for reply within second month                                    |                       |
| 1253   | 1,020               | 2253            | 510             | Extension for reply within third month                                     |                       |
| 1254   | 1,590               | 2254            | 795             | Extension for reply within fourth month                                    |                       |
| 1255   | 2,160               | 2255            | 1,080           | Extension for reply within fifth month                                     |                       |
| 1401   | 500                 | 2401            | 250             | Notice of Appeal   |                       |
| 1402   | 500                 | 2402            | 250             | Filing a brief in support of an appeal                                     |                       |
| 1403   | 1,000               | 2403            | 500             | Request for oral hearing   |                       |
| 1451   | 1,510               | 1451            | 1,510           | Petition to institute a public use proceeding                              |                       |
| 1452   | 500                 | 2452            | 250             | Petition to revive - unavoidable   |                       |
| 1453   | 1,500               | 2453            | 750             | Petition to revive - unintentional   |                       |
| 1501   | 1,400               | 2501            | 700             | Utility issue fee (or reissue)   |                       |
| 1502   | 800                 | 2502            | 400             | Design issue fee   |                       |
| 1503   | 1100                | 2503            | 550             | Plant issue fee  |                       |
| 1462   | 400                 | 1462            | 400             | Petitions to the Commissioner (CFR 1.17(f) Group I)                        |                       |
| 1463   | 200                 | 1463            | 200             | Petitions to the Commissioner (CFR 1.17(g) Group II)                       |                       |
| 1464   | 130                 | 1464            | 130             | Petitions to the Commissioner (CFR 1.17(h) Group III)                      |                       |
| 1807   | 50                  | 1807            | 50              | Processing fee under 37 CFR 1.17(q)  |                       |
| 1806   | 180                 | 1806            | 180             | Submission of Information Disclosure Stmt                                  |                       |
| 8021   | 40                  | 8021            | 40              | Recording each patent assignment per property (times number of properties) |                       |
| 1809   | 790                 | 2809            | 395             | For filing a submission after final rejection (see 37 CFR 1.129(a))        |                       |
| 1814   | 130                 | 2814            | 65              | Statutory Disclaimer   | <b>\$130.00</b>       |
| 1810   | 790                 | 2810            | 395             | For each additional invention to be examined (see 37 CFR 1.129(b))         |                       |
| 1801   | 790                 | 2801            | 395             | Request for Continued Examination (RCE)                                    |                       |
| 1802   | 900                 | 1802            | 900             | Request for expedited examination of a design application                  |                       |
| 1504   | 300                 | 1504            | 300             | Publication fee for early, voluntary, or normal pub.                       |                       |
| 1505   | 300                 | 1505            | 300             | Publication fee for republication  |                       |
| 1803   | 130                 | 1803            | 130             | Request for voluntary publication or republication                         |                       |
| 1808   | 130                 | 1808            | 130             | Processing fee under 37 CFR 1.17(i) (except provisionals)                  |                       |
| 1454   | 1,370               | 1454            | 1,370           | Acceptance of unintentionally delayed claim for priority                   |                       |
| Other fee (specify) _____  |                     |                 |                 |  |                       |
| Other fee (specify) _____  |                     |                 |                 |  |                       |
| <b>SUBTOTAL (4)</b>  |                     |                 |                 |  | <b>\$ 130.00</b>      |

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Jan Little-WashingtonSignature: /Jan Little-Washington, Reg. No. 41,181/ Date: August 14, 2007Reg. Number: 41,181Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450